

FrontLineCompliance

FrontLine Compliance President to Speak at Upcoming Conferences Sponsored by Financial Research Associates

Former SEC and NASD regulator to present on hedge fund compliance topics including valuation, soft dollars and personal trading

ALEXANDRIA, VA (PRWEB) June 6, 2007 – Amy Lynch, President of FrontLine Compliance, LLC (FLC), a securities compliance services firm, will be a featured speaker at the Financial Research Associates 6th Annual Valuation of Hard-to-Value Securities and Portfolios Conference to be held June 11-12, 2007 at The Flatotel, NYC. Ms. Lynch will also speak at the Financial Research Associates 9th Annual Hedge Fund Regulation & Compliance Forum to be held June 21-22, 2007 at The Princeton Club, NYC.

Ms. Lynch will deliver “Best Practices in Pricing and Valuation Verification and Review for Complex and Illiquid Securities” at the Valuation of Hard-to-Value Securities and Portfolios Conference on June 11, 2007. “I’ll be focusing on the methods used in determining pricing and valuation of securities held by unregistered pooled investment vehicles,” said Lynch. “With the SEC and foreign regulators voicing concerns about valuation techniques, managers need to follow practices that achieve reasonable and accurate pricing of their securities and portfolios.”

On June 22, 2007, Ms. Lynch will speak on two topics at the Hedge Fund Regulation & Compliance Forum, “Understanding the SEC’s New Interpretive Guidance on the Use of Soft Dollars and Brokerage Arrangements” and “Are You Compliant with Personal Trading Regulations?” “Increasingly compliance has become a doctrine for hedge fund managers seeking a competitive advantage through assurances for their investors,” commented Lynch. “Soft dollars, broker-dealer arrangements and personal trading are all areas where hedge funds should consider implementing practical and effective compliance measures.”

Selected as a speaker for her industry and regulatory experience, Ms. Lynch has worked on regulatory compliance issues throughout her career. “The two conferences will help fund managers, compliance and operational staff in the hedge fund industry deepen their understanding of key compliance issues.”

Founded by Amy Lynch, FrontLine Compliance, LLC is a regulatory compliance firm that assists broker-dealers, investment advisers, investment companies, hedge funds, and insurance companies in areas of compliance administration and cost reduction. More about the consulting firm may be found on its website: www.frontlinecompliance.com

CONTACT INFORMATION:

Amy Lynch, President
FrontLine Compliance, LLC
3131 Mount Vernon Avenue
Alexandria, VA 22305
Phone 703-518-8860 * Fax: 703-518-4480
<http://www.frontlinecompliance.com>